

Finance Major: *Investments* (Last Revised 1/2025)

Required Business Core (42 hours)

		Credit
ECON 1041	Principles of Macroeconomics	3 hrs.
ECON 1051	Principles of Microeconomics (C- or better in ECON 1041)	3 hrs.
STAT 1772	Intro to Statistical Methods (50 on ALEKS)	3 hrs.
ECON 1011	Statistics for Business Analytics (STAT 1772 <u>OR</u> equivalent)	3 hrs.
ECON 2090	Decision Analytics (STAT 1772 <u>OR</u> equivalent; prerequisite or corequisite: MGMT 2080)	3 hrs.
ACCT 2120	Principles of Financial Accounting (Sophomore standing)	3 hrs.
ACCT 2130	Principles of Managerial Accounting (Sophomore standing; C- or better in ACCT 2120)	3 hrs.
MGMT 2080	Introduction to Information Systems	3 hrs.
MKTG 2110	Principles of Marketing (ECON 1031 <u>OR</u> ECON 1041 <u>OR</u> ECON 1051)	3 hrs.
FIN 3130/5130	Corporation Finance (Junior standing; Wilson College of Business Admittance; ACCT 2120; ECON 1041; ECON 1051; ECON 1011; STAT 1772 <u>OR</u> equivalent)	3 hrs.
MGMT 3965	Organizational Behavior (Junior standing; Wilson College of Business Admittance)	3 hrs.
MGMT 3100	Legal & Social Environment of Business (Junior standing; Wilson College of Business Admittance)	3 hrs.
MGMT 3154	Operations Management (Junior standing; Wilson College of Business Admittance; ACCT 2120; MGMT 2080; ECON 1011; ECON 2090; STAT 1772 <u>OR</u> equivalent)	3 hrs.
MGMT 4175	Strategic Management (Senior standing; completion of rest of Wilson College of Business core)	3 hrs.

Finance Major Requirements (9 hours)

		Credit
FIN 3160/5160	Principles of Investments (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 3135	Intermediate Financial Management (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 4145	Advanced Financial Management (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; FIN 3135; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.

Investments Emphasis (9 hours)

Required 6 hours

FIN 4150/5150	Securities Analysis (Junior standing; C- or better in FIN 3130/5130; FIN 3160/5160; ACCT 2120; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011) (Spring)	3 hrs.
FIN 4155/5155	Options/Futures (Junior standing; C- or better in FIN 3130/5130; FIN 3160/5160; ACCT 2120; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011) (Fall)	3 hrs.

Select an additional 3 hours

FIN 3125	Real Estate Finance (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 3065/5065	Fixed Income Analysis (Junior standing; C- or better in FIN 3130/5130; FIN 3160/5160; ACCT 2120; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011) (Spring only)	3 hrs.

FIN 3125	Financial Modeling and Analytics (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; FIN 3160; STAT 1772 OR equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
ACCT 3120	Intermediate Accounting (Junior standing; ACCT 2120; ACCT 2130)	3 hrs.

Professional Readiness Requirements (0 hours)
Credit

BUSINESS 1000	Introductory Seminar for Business Professionals	0 hrs.
BUSINESS 2000	Business Professionals in Training (Business 1000)	0 hrs.

Professional Readiness Recommended (0 hours)

BUSINESS 3000	Intermediate Professional Skills (Business 2000)	0 hrs.
BUSINESS 4000	Advanced Professional Skills (Business 3000)	0 hrs.

ADMISSION STANDARDS

STUDENTS SEEKING A MAJOR IN ACCOUNTING, BUSINESS TEACHING, ECONOMICS (BUSINESS ECONOMICS EMPHASIS), FINANCE, REAL ESTATE, MANAGEMENT, SUPPLY CHAIN MANAGEMENT, MANAGEMENT INFORMATION SYSTEMS, OR MARKETING MUST BE ADMITTED TO THE COLLEGE OF BUSINESS AND MEET ALL COURSE PREREQUISITES BEFORE TAKING UPPER-DIVISION (3000/4000-LEVEL) COLLEGE OF BUSINESS COURSES, INCLUDING MKTG 2110 PRINCIPLES OF MARKETING.

Students are eligible for admission to the College of Business upon completion of the following requirements:

1. 30 Cumulative Semester hours of college credit
2. C- or better in the following Business Core Courses:
 - a. ECON 2090 Decision Analytics OR ECON 1011 Statistics for Business Analytics and
 - b. ECON 1041 Principles of Macroeconomics
3. C- or better in an **approved** English composition course.
4. Total or UNI* cumulative GPA of at least 2.50 on all university-level courses
5. Declaration of Curriculum form filed with UNI Business Office for Professional Distinction (CBB 5)

GRADUATION STANDARDS

1. A cumulative UNI GPA of 2.20 or better.
2. A cumulative GPA of 2.20 or better for all College of Business courses (ACCT, MKTG, MGMT, FIN, ECON) taken at UNI.
3. Earn at least 50% of their business credit hours required for the major at UNI.

Copies of the College of Business admission policy and graduation requirements can be obtained from UNI Business Advising, CBB 5 (tel: 319-273-2144) and can be found online at <http://business.uni.edu/advising>.

SUGGESTED PROGRAM of STUDY for FINANCE MAJORS

Meet with an advisor to make an official Plan of Study

Freshman Year
Fall

STAT 1772 Statistical Methods	3 hrs.
ECON 1041 Prin. of Macroeconomics	3 hrs.
UNIFI / Electives	9 hrs.
BUSINESS 1000 Introductory Seminar for Bus. Prof.	0 hrs.
Total semester hours	15 hrs.

Spring

ECON 1051 Prin. of Microeconomics	3 hrs.
ECON 1011 Statistics for Business Analytics	3 hrs.
UNIFI / Electives	6 hrs.
ENGLISH 1005 College Writing and Research	3 hrs.
Total semester hours	15 hrs.

Sophomore Year
Fall

ACCT 2120 Prin. of Financial Acct.	3 hrs.
MGMT 2080 Intro. to Info. Systems	3 hrs.
UNIFI / Electives	10 hrs.
BUSINESS 2000 Bus. Prof. in Training	0 hrs.
Total semester hours	16 hrs.

Spring

ACCT 2130 Prin. of Managerial Acct.	3 hrs.
ECON 2090 Decision Analytics	3 hrs.
UNIFI / Electives	6 hrs.
University Electives	3 hrs.
Total semester hours	15 hrs.

Junior Year
Fall

MKTG 2110 Prin. of Marketing	3 hrs.
MGMT 3100 Legal & Soc. Environment of Business	3 hrs.
FIN 3130/5130 Corporation Finance	3 hrs.
UNIFI / Electives	3 hrs.
University Electives	3 hrs.
Total semester hours	16 hrs.

Spring

MGMT 3965 Organizational Behavior	3 hrs.
FIN 3160/ 5160 Prin. of Investments	3 hrs.
FIN 3135 Intermediate Financial Mgmt.	3 hrs.
UNIFI / Electives	3 hrs.
University Electives	3 hrs.
Total semester hours	15 hrs.

Senior Year
Fall

MGMT 3154 Operations Management	3 hrs.
FIN 4155 Options/Futures	3 hrs.
Finance Emphasis Courses	3 hrs.
UNIFI / Electives	2 hrs.
University Electives	3 hrs.
Total semester hours	14 hrs.

Spring

MGMT 4175 Strategic Management	3 hrs.
FIN 4145 Advanced Fin. Management	3 hrs.
FIN 4150 Securities Analysis	3 hrs.
University Electives	6 hrs.
Total semester hours	15 hrs.

TENTATIVE SCHEDULE of FINANCE CLASSES

This schedule of courses can be a helpful guide to plan the courses needed to complete a Finance major. It is important to check the current university schedule of classes for courses actually offered in a particular semester. The schedule here can only be tentative, since actual offerings are influenced by uncontrollable factors such as university funding, student interest, and faculty expertise; all of which may not be certain, as far in advance, as when this guide was prepared.

Course Number	Title	(Semester Offered)		
		Fall	Spring	Summer
FIN 1040	Personal Finance Planning & Asset Management	Yes	Yes	No
FIN 3130/5130	Corporation Finance	Yes	Yes	Yes
FIN 3040	Introduction to Personal Finance Planning	Yes	Yes	No
FIN 3160/5160	Principles of Investments	Yes	Yes	Variable
FIN 3135	Intermediate Financial management	Yes	Yes	Variable
FIN 4040	Personal Wealth Management	Yes	Yes	No
FIN 4145	Advanced Financial Management	Yes	Yes	Variable
FIN 3125	Real Estate Finance	Yes	Yes	No
FIN 3170/5170	Commercial Bank Management	Yes	No	No
FIN 4150/5150	Security Analysis	No	Yes	No
FIN 4155/5155	Options/Futures	Yes	No	No
FIN 3032/5032	International Financial Management	Yes	Yes	No
FIN 3065/5065	Fixed Income Analysis	No	Yes	No
FIN 3050	Risk Management and Insurance	Variable	Variable	No
FIN 3055	Insurance Company Operations	Variable	Variable	No
ECON 2132	Money and Banking	Yes	Yes	Variable
FIN 3080	Special Problems in Finance	Variable	Variable	Variable
FIN 3179	Cooperative Education / Internship	Yes	Yes	Yes
FIN 6285	Individual Readings	Variable	Variable	Variable
FIN 6297	Practicum	Variable	Variable	Variable
FIN 6299	Research	Variable	Variable	Variable
FIN 4270	Applications of Financial Planning	Yes	Yes	No

NOTES ON GENERAL FINANCE

Note 1: Finance and Real Estate majors may declare a double major, and/or major and minor within the College of Business, subject to the approval of the other College of Business departments involved. Finance and Real Estate majors minoring within the College of Business must select minors designated for business majors. Finance majors cannot minor in Finance, and Real Estate majors cannot minor in Real Estate. However, Finance majors may double major or minor in Real Estate, and Real Estate majors may double major in Finance or minor in Finance.

A total cumulative or UNI cumulative GPA of 2.5 is required to declare a double major and/or minor.

Finance majors may declare additional College of Business majors in one or more of the following areas only: Accounting, Business Teaching, Economics (any emphasis), Management, Marketing, MIS, and Real Estate.

Finance majors minoring within the College of Business may select only these minors: Real Estate/Business, Economics, International Business/Business and Business Communications.

Note 2: Finance majors are strongly encouraged to take at least nine hours beyond Principles of Managerial Accounting in the accounting area as part of their university electives. This is especially true for those interested in pursuing careers in the financial services industry.

Note 3: Students interested in pursuing graduate study are strongly encouraged to consider an Economics minor and mathematics course work through MATH 1420 Calculus I.

Note 4: All students are urged to consider a minor outside the College of Business such as foreign language, mathematics, geography, or other areas of personal interest.

Note 5: Finance majors must take Intermediate Finance (FIN 3135) prior to taking Advanced Financial Management.

Note 6: Finance majors may not declare more than one emphasis area.