

**Finance Major: *Investments*** (Last Revised 01/2020)

**Required Business Core (39 hours)**

		<b>Credit</b>
ECON 1041	<b>Principles of Macroeconomics</b>	3 hrs.
ECON 1051	<b>Principles of Microeconomics</b> (ECON 1041)	3 hrs.
ECON 1011	<b>Statistics for Business Analytics</b> (STAT 1772 <u>OR</u> equivalent)	3 hrs.
ECON 1021	<b>Decision Analytics</b> (STAT 1772 <u>OR</u> equivalent)	3 hrs.
ACCT 2120	<b>Principles of Financial Accounting</b> (Sophomore standing)	3 hrs.
ACCT 2130	<b>Principles of Managerial Accounting</b> (Sophomore standing; C- or better in ACCT 2120)	3 hrs.
MGMT 2080	<b>Introduction to Information Systems</b> (Sophomore standing)	3 hrs.
MKTG 2110	<b>Principles of Marketing</b> (Sophomore standing; CBA Admittance; ECON 1031 <u>OR</u> ECON 1041)	3 hrs.
FIN 3130/5130	<b>Corporation Finance</b> (Junior standing; CBA Admittance; ACCT 2120; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
MGMT 3153	<b>Organizational Management</b> (Junior standing; CBA Admittance)	3 hrs.
MGMT 3100	<b>Legal &amp; Social Environment of Business</b> (Junior standing; CBA Admittance)	3 hrs.
MGMT 3154	<b>Operations Management</b> (Junior standing; CBA Admittance; ACCT 2120; MGMT 2080; ECON 1011; ECON 1021)	3 hrs.
MGMT 4175	<b>Business Policy and Strategy</b> (Senior standing; completion of rest of CBA core)	3 hrs.

**Finance Major Requirements (9 hours)**

		<b>Credit</b>
FIN 3160/5160	<b>Principles of Investments</b> (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 3135	<b>Intermediate Financial Management</b> (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 4145	<b>Advanced Financial Management</b> (Senior standing; ACCT 2120; ACCT 2130; C- or better in FIN 3130/5130; FIN 3135; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.

**Investments Emphasis (9 hours)**

**Required 6 hours**

FIN 4150/5150	<b>Securities Analysis</b> (Senior standing; C- or better in FIN 3130/5130; FIN 3160/5160; ACCT 2120; ACCT 2130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 4155/5155	<b>Options/Futures</b> (Senior standing; C- or better in FIN 3130/5130; FIN 3160/5160; ACCT 2120; ACCT 2130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011) (Fall only)	3 hrs.

**Select an additional 3 hours**

FIN 3125	<b>Real Estate Finance</b> (Junior standing; ACCT 2120; ACCT 2130; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 3170/5170	<b>Commercial Bank Management</b> (Junior standing; ACCT 2120; ACCT 2130; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011) (Fall only)	3 hrs.
FIN 3032/5032	<b>International Financial Management</b> (Junior standing; ACCT 2120; ACCT 2130; C- or better in FIN 3130/5130; STAT 1772; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 3065/5065	<b>Fixed Income Analysis</b> (Junior standing; C- or better in FIN 3130/5130; FIN 3160/5160; ACCT 2120; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011) (Spring only)	3 hrs.

**Professional Readiness Requirements (0 hours)**

**Credit**

BUSINESS 1000	<b>Introductory Seminar for Business Professionals</b>	0 hrs.
BUSINESS 2000	<b>Business Professionals in Training</b> (Business 1000)	0 hrs.

**Professional Readiness Recommended (0 hours)**

BUSINESS 3000	<b>Intermediate Professional Skills</b> (Business 2000)	0 hrs.
BUSINESS 4000	<b>Advanced Professional Skills</b> (Business 3000)	0 hrs.

**STUDENTS WHO MAJOR IN BOTH FINANCE AND REAL ESTATE MAY NOT USE FIN 3125 REAL ESTATE FINANCE TO SATISFY THE REQUIREMENTS OF THE FINANCE MAJOR.**

**ADMISSION STANDARDS**

STUDENTS SEEKING A MAJOR IN ACCOUNTING, BUSINESS TEACHING, ECONOMICS (BUSINESS ECONOMICS EMPHASIS), FINANCE, REAL ESTATE, MANAGEMENT, SUPPLY CHAIN MANAGEMENT, MANAGEMENT INFORMATION SYSTEMS, OR MARKETING MUST BE ADMITTED TO THE COLLEGE OF BUSINESS ADMINISTRATION (CBA) AND MEET ALL COURSE PREREQUISITES BEFORE TAKING UPPER-DIVISION (3000/4000-LEVEL) CBA COURSES, INCLUDING MKTG 2110 PRINCIPLES OF MARKETING.

Students are eligible for admission to the CBA upon completion of the following requirements:

1. Completion of the ALEKS Mathematics Placement Examination
2. 30 Cumulative Semester hours of college credit
3. C- or better in the following Business Core Courses:
  - a. ECON 1021 Decision Analytics OR ECON 1011 Statistics for Business Analytics and
  - b. ECON 1041 Principles of Macroeconomics
4. C- or better in an **approved** English composition course.
5. Total or UNI\* cumulative GPA of at least 2.50 on all university-level courses
6. Declaration of Curriculum form filed with UNIBusiness Office for Professional Distinction (CBB 5)

**GRADUATION STANDARDS**

1. A cumulative UNI GPA of 2.20 or better.
2. A cumulative GPA of 2.20 or better for all CBA business courses (ACCT, MKTG, MGMT, FIN, ECON) taken at UNI.
3. Earn at least 50% of their business credit hours required for the major at UNI.

Copies of the CBA admission policy and graduation requirements can be obtained from UNIBusiness Advising, CBB 5 (tel: 319-273-2144) and can be found online at <http://business.uni.edu/advising>.

**SUGGESTED PROGRAM of STUDY for FINANCE MAJORS**

**Freshman Year**

**Fall**

STAT 1772 Statistical Methods	3 hrs.
ECON 1041 Prin. of Macroeconomics	3 hrs.
Liberal Arts Core / Electives	9 hrs.
BUSINESS 1000 Introductory Seminar for Bus. Prof.	0 hrs.
<b>Total semester hours</b>	<b>15 hrs.</b>

**Spring**

ECON 1051 Prin. of Microeconomics	3 hrs.
ECON 1011 Statistics for Business Analytics	3 hrs.
Liberal Arts Core / Electives	6 hrs.
ENGLISH 1005 College Writing and Research	3 hrs.
<b>Total semester hours</b>	<b>15 hrs.</b>

**Sophomore Year**

**Fall**

ACCT 2120 Prin. of Financial Acct.	3 hrs.
ECON 1021 Decision Analytics	3 hrs.
Liberal Arts Core / Electives	10 hrs.
BUSINESS 2000 Bus. Prof. in Training	0 hrs.
<b>Total semester hours</b>	<b>16 hrs.</b>

**Spring**

ACCT 2130 Prin. of Managerial Acct.	3 hrs.
MGMT 2080 Intro. to Info. Systems	3 hrs.
Liberal Arts Core / Electives	6 hrs.
University Electives	3 hrs.
<b>Total semester hours</b>	<b>15 hrs.</b>

**Junior Year**

**Fall**

MKTG 2110 Prin. of Marketing	3 hrs.
MGMT 3100 Legal & Soc. Environment of Business	3 hrs.
FIN 3130/5130 Corporation Finance	3 hrs.
Liberal Arts Core / Electives	3 hrs.
University Electives	3 hrs.
<b>Total semester hours</b>	<b>16 hrs.</b>

**Spring**

MGMT 3153 Organizational Management	3 hrs.
FIN 3160/ 5160 Prin. of Investments	3 hrs.
FIN 3135 Intermediate Financial Mgmt.	3 hrs.
Liberal Arts Core / Electives	3 hrs.
University Electives	3 hrs.
<b>Total semester hours</b>	<b>15 hrs.</b>

**Senior Year**

**Fall**

MGMT 3154 Operations Management	3 hrs.
Finance Emphasis Courses	6 hrs.
Liberal Arts Core	2 hrs.
University Electives	3 hrs.
<b>Total semester hours</b>	<b>14 hrs.</b>

**Spring**

MGMT 4175 Business Policy & Strategy	3 hrs.
FIN 4145 Advanced Fin. Management	3 hrs.
Finance Emphasis Course	3 hrs.
University Electives	6 hrs.
<b>Total semester hours</b>	<b>15 hrs.</b>

**TENTATIVE SCHEDULE of FINANCE CLASSES**

This schedule of courses can be a helpful guide to plan the courses needed to complete a Finance major. It is important to check the current university schedule of classes for courses actually offered in a particular semester. The schedule here can only be tentative, since actual offerings are influenced by uncontrollable factors such as university funding, student interest, and faculty expertise; all of which may not be certain, as far in advance, as when this guide was prepared.

Course Number	Title	(Semester Offered)		
		Fall	Spring	Summer
FIN 1040	<b>Personal Finance Planning &amp; Asset Management</b>	Yes	Yes	No
FIN 3130/5130	<b>Corporation Finance</b>	Yes	Yes	Yes
FIN 3040	<b>Introduction to Personal Finance Planning</b>	Yes	Yes	No
FIN 3160/5160	<b>Principles of Investments</b>	Yes	Yes	Variable
FIN 3135	<b>Intermediate Financial management</b>	Yes	Yes	Variable
FIN 4040	<b>Personal Wealth Management</b>	Yes	Yes	No
FIN 4145	<b>Advanced Financial Management</b>	Yes	Yes	Variable
FIN 3125	<b>Real Estate Finance</b>	Yes	Yes	No
FIN 3170/5170	<b>Commercial Bank Management</b>	Yes	No	No
FIN 4150/5150	<b>Security Analysis</b>	Yes	Yes	No
FIN 4155/5155	<b>Options/Futures</b>	Yes	No	No
FIN 3032/5032	<b>International Financial Management</b>	Yes	Yes	No
FIN 3065/5065	<b>Fixed Income Analysis</b>	No	Yes	No
FIN 3050	<b>Risk Management and Insurance</b>	Variable	Variable	No
FIN 3055	<b>Insurance Company Operations</b>	Variable	Variable	No
ECON 2132	<b>Money and Banking</b>	Yes	Yes	Variable
FIN 3080	<b>Special Problems in Finance</b>	Variable	Variable	Variable
FIN 3179	<b>Cooperative Education / Internship</b>	Yes	Yes	Yes
FIN 6285	<b>Individual Readings</b>	Variable	Variable	Variable
FIN 6297	<b>Practicum</b>	Variable	Variable	Variable
FIN 6299	<b>Research</b>	Variable	Variable	Variable
FIN 4270	<b>Applications of Financial Planning</b>	Yes	Yes	No

## NOTES ON GENERAL FINANCE

- Note 1: **STUDENTS WHO MAJOR IN BOTH FINANCE AND REAL ESTATE MAY NOT USE FIN 3125 (REAL ESTATE FINANCE) TO SATISFY THE REQUIREMENTS OF THE FINANCE MAJOR.**
- Note 2: Finance and Real Estate majors may declare a double major, and/or major and minor within the College of Business Administration, subject to the approval of the other CBA departments involved. Finance and Real Estate majors minoring within the CBA must select minors designated for business majors. Finance majors cannot minor in Finance, and Real Estate majors cannot minor in Real Estate. However, Finance majors may double major or minor in Real Estate, and Real Estate majors may double major in Finance or minor in Finance.
- A total cumulative or UNI cumulative GPA of 2.5 is required to declare a double major and/or minor.
- Finance majors may declare additional CBA majors in one or more of the following areas only: Accounting, Business Teaching, Economics (any emphasis), Management, Marketing, MIS, and Real Estate.
- Finance majors minoring within the CBA may select only these minors: Real Estate/Business, Economics, International Business/Business and Business Communications.
- Note 3: Finance majors are strongly encouraged to take at least nine hours beyond Principles of Managerial Accounting in the accounting area as part of their university electives. This is especially true for those interested in pursuing careers in the financial services industry.
- Note 4: Students interested in pursuing graduate study are strongly encouraged to consider an Economics minor and mathematics course work through MATH 1420 Calculus I.
- Note 5: All students are urged to consider a minor outside the College of Business Administration such as foreign language, mathematics, geography, or other areas of personal interest.
- Note 6: Finance majors must take Intermediate Finance (FIN 3135) prior to taking Advanced Financial Management.
- Note 7: Finance majors may not declare more than one emphasis area.