

Finance Major: *Personal Wealth Planning* (Last Revised 01/2020)

Required Business Core (39 hours)		Credit
ECON 1041	Principles of Macroeconomics	3 hrs.
ECON 1051	Principles of Microeconomics (ECON 1041)	3 hrs.
ECON 1011	Statistics for Business Analytics (STAT 1772 <u>OR</u> equivalent)	3 hrs.
ECON 1021	Decision Analytics (STAT 1772 <u>OR</u> equivalent)	3 hrs.
ACCT 2120	Principles of Financial Accounting (Sophomore standing)	3 hrs.
ACCT 2130	Principles of Managerial Accounting (Sophomore standing; C- or better in ACCT 2120)	3 hrs.
MGMT 2080	Introduction to Information Systems (sophomore standing)	3 hrs.
MKTG 2110	Principles of Marketing (Sophomore standing; CBA Admittance; ECON 1031 <u>OR</u> ECON 1041)	3 hrs.
FIN 3130/5130	Corporation Finance (Junior standing; CBA Admittance; ACCT 2120; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
MGMT 3153	Organizational Management (Junior standing; CBA Admittance)	3 hrs.
MGMT 3100	Legal & Social Environment of Business (Junior standing; CBA Admittance)	3 hrs.
MGMT 3154	Operations Management (Junior standing; CBA Admittance; ACCT 2120; MGMT 2080; ECON 1011; ECON 1021)	3 hrs.
MGMT 4175	Business Policy and Strategy (Senior standing; completion of rest of CBA core)	3 hrs.

Finance Major Requirements (9 hours)		Credit
FIN 3160/5160	Principles of Investments (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 3135	Intermediate Financial Management (Junior standing; ACCT 2120; C- or better in FIN 3130/5130; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 4145	Advanced Financial Management (Senior standing; ACCT 2120; ACCT 2130; C- or better in FIN 3130/5130; FIN 3135; STAT 1772 <u>OR</u> equivalent; ECON 1041; ECON 1051; ECON 1011)	3 hrs.

Personal Wealth Management (9 hours)		Credit
FIN 3040	Introduction to Personal Financial Planning (Junior standing; CBA Admittance; FIN 3130/5130; ACCT 2130; ECON 1041; ECON 1051; ECON 1011)	3 hrs.
FIN 4270	Applications of Financial Planning (Senior standing; FIN 3040; FIN 4040)	3 hrs.
FIN 4040	Personal Wealth Management (Senior standing; FIN 3040; FIN 3130/5130)	3 hrs.

Professional Readiness Requirements (0 hours)

Credit

BUSINESS 1000	Introductory Seminar for Business Professionals	0 hrs.
BUSINESS 2000	Business Professionals in Training (Business 1000)	0 hrs.

Professional Readiness Recommended (0 hours)

BUSINESS 3000	Intermediate Professional Skills (Business 2000)	0 hrs.
BUSINESS 4000	Advanced Professional Skills (Business 3000)	0 hrs.

ADMISSION STANDARDS

STUDENTS SEEKING A MAJOR IN ACCOUNTING, BUSINESS TEACHING, ECONOMICS (BUSINESS ECONOMICS EMPHASIS), FINANCE, REAL ESTATE, MANAGEMENT, SUPPLY CHAIN MANAGEMENT, MANAGEMENT INFORMATION SYSTEMS, OR MARKETING MUST BE ADMITTED TO THE COLLEGE OF BUSINESS ADMINISTRATION (CBA) AND MEET ALL COURSE PREREQUISITES BEFORE TAKING UPPER-DIVISION (3000/4000-LEVEL) CBA COURSES, INCLUDING MKTG 2110 PRINCIPLES OF MARKETING.

Students are eligible for admission to the CBA upon completion of the following requirements:

1. Completion of the ALEKS Mathematics Placement Examination
2. 30 Cumulative Semester hours of college credit
3. C- or better in the following Business Core Courses:
 - a. ECON 1021 Decision Analytics OR ECON 1011 Statistics for Business Analytics and
 - b. ECON 1041 Principles of Macroeconomics
4. C- or better in an **approved** English composition course.
5. Total or UNI* cumulative GPA of at least 2.50 on all university-level courses
6. Declaration of Curriculum form filed with UNIBusiness Office for Professional Distinction (CBB 5)

GRADUATION STANDARDS

1. A cumulative UNI GPA of 2.20 or better.
2. A cumulative GPA of 2.20 or better for all CBA business courses (ACCT, MKTG, MGMT, FIN, ECON) taken at UNI.
3. Earn at least 50% of their business credit hours required for the major at UNI.

Copies of the CBA admission policy and graduation requirements can be obtained from UNIBusiness Advising, CBB 5 (tel: 319-273-2144) and can be found online at <http://business.uni.edu/advising>.

SUGGESTED PROGRAM of STUDY for FINANCE MAJORS

Freshman Year

Fall

STAT 1772 Statistical Methods	3 hrs.
ECON 1041 Prin. of Macroeconomics	3 hrs.
Liberal Arts Core / Electives	9 hrs.
BUSINESS 1000 Introductory Seminar for Bus. Prof.	0 hrs.
Total semester hours	15 hrs.

Spring

ECON 1051 Prin. of Microeconomics	3 hrs.
ECON 1011 Statistics for Business Analytics	3 hrs.
Liberal Arts Core / Electives	6 hrs.
ENGLISH 1005 College Writing and Research	3 hrs.
Total semester hours	15 hrs.

Sophomore Year

Fall

ACCT 2120 Prin. of Financial Acct.	3 hrs.
ECON 1021 Decision Analytics	3 hrs.
Liberal Arts Core / Electives	10 hrs.
BUSINESS 2000 Bus. Prof. in Training	0 hrs.
Total semester hours	16 hrs.

Spring

ACCT 2130 Prin. of Managerial Acct.	3 hrs.
MGMT 2080 Intro. to Info. Systems	3 hrs.
Liberal Arts Core / Electives	6 hrs.
University Electives	3 hrs.
Total semester hours	15 hrs.

Junior Year

Fall

MKTG 2110 Prin. of Marketing	3 hrs.
MGMT 3100 Legal & Soc. Environment of Business	3 hrs.
FIN 3130/5130 Corporation Finance	3 hrs.
Liberal Arts Core / Electives	3 hrs.
University Electives	3 hrs.
Total semester hours	16 hrs.

Spring

MGMT 3153 Organizational Management	3 hrs.
FIN 3160/ 5160 Prin. of Investments	3 hrs.
FIN 3135 Intermediate Financial Mgmt.	3 hrs.
Liberal Arts Core / Electives	3 hrs.
University Electives	3 hrs.
Total semester hours	15 hrs.

Senior Year

Fall

MGMT 3154 Operations Management	3 hrs.
Finance Emphasis Courses	6 hrs.
Liberal Arts Core	2 hrs.
University Electives	3 hrs.
Total semester hours	14 hrs.

Spring

MGMT 4175 Business Policy & Strategy	3 hrs.
FIN 4145 Advanced Fin. Management	3 hrs.
Finance Emphasis Course	3 hrs.
University Electives	6 hrs.
Total semester hours	15 hrs.

TENTATIVE SCHEDULE of FINANCE CLASSES

This schedule of courses can be a helpful guide to plan the courses needed to complete a Finance major. It is important to check the current university schedule of classes for courses actually offered in a particular semester. The schedule here can only be tentative, since actual offerings are influenced by uncontrollable factors such as university funding, student interest, and faculty expertise; all of which may not be certain, as far in advance, as when this guide was prepared.

Course Number	Title	(Semester Offered)		
		Fall	Spring	Summer
FIN 1040	Personal Finance Planning & Asset Management	Yes	Yes	No
FIN 3130/5130	Corporation Finance	Yes	Yes	Yes
FIN 3040	Introduction to Personal Finance Planning	Yes	Yes	No
FIN 3160/5160	Principles of Investments	Yes	Yes	Variable
FIN 3135	Intermediate Financial management	Yes	Yes	Variable
FIN 4040	Personal Wealth Management	Yes	Yes	No
FIN 4145	Advanced Financial Management	Yes	Yes	Variable
FIN 3125	Real Estate Finance	Yes	Yes	No
FIN 3170/5170	Commercial Bank Management	Yes	No	No
FIN 4150/5150	Security Analysis	Yes	Yes	No
FIN 4155/5155	Options/Futures	Yes	No	No
FIN 3032/5032	International Financial Management	Yes	Yes	No
FIN 3065/5065	Fixed Income Analysis	No	Yes	No
FIN 3050	Risk Management and Insurance	Variable	Variable	No
FIN 3055	Insurance Company Operations	Variable	Variable	No
ECON 2132	Money and Banking	Yes	Yes	Variable
FIN 3080	Special Problems in Finance	Variable	Variable	Variable
FIN 3179	Cooperative Education / Internship	Yes	Yes	Yes
FIN 6285	Individual Readings	Variable	Variable	Variable
FIN 6297	Practicum	Variable	Variable	Variable
FIN 6299	Research	Variable	Variable	Variable
FIN 4270	Applications of Financial Planning	Yes	Yes	No

NOTES ON GENERAL FINANCE

- Note 1: **STUDENTS WHO MAJOR IN BOTH FINANCE AND REAL ESTATE MAY NOT USE FIN 3125 (REAL ESTATE FINANCE) TO SATISFY THE REQUIREMENTS OF THE FINANCE MAJOR.**
- Note 2: Finance and Real Estate majors may declare a double major, and/or major and minor within the College of Business Administration, subject to the approval of the other CBA departments involved. Finance and Real Estate majors minoring within the CBA must select minors designated for business majors. Finance majors cannot minor in Finance, and Real Estate majors cannot minor in Real Estate. However, Finance majors may double major or minor in Real Estate, and Real Estate majors may double major in Finance or minor in Finance.
- A total cumulative or UNI cumulative GPA of 2.5 is required to declare a double major and/or minor.
- Finance majors may declare additional CBA majors in one or more of the following areas only: Accounting, Business Teaching, Economics (any emphasis), Management, Marketing, MIS, and Real Estate.
- Finance majors minoring within the CBA may select only these minors: Real Estate/Business, Economics, International Business/Business and Business Communications.
- Note 3: Finance majors are strongly encouraged to take at least nine hours beyond Principles of Managerial Accounting in the accounting area as part of their university electives. This is especially true for those interested in pursuing careers in the financial services industry.
- Note 4: Students interested in pursuing graduate study are strongly encouraged to consider an Economics minor and mathematics course work through MATH 1420 Calculus I.
- Note 5: All students are urged to consider a minor outside the College of Business Administration such as foreign language, mathematics, geography, or other areas of personal interest.
- Note 6: Finance majors must take Intermediate Finance (FIN 3135) prior to taking Advanced Financial Management.
- Note 7: Finance majors may not declare more than one emphasis area.